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ATTORNEY DOCKET NO. CONFIRMATION NO. FIRST NAMED INVENTOR FILING DATE APPLICATION NO. H 5344 3428 10/755,702 01/12/2004 Michael Krebs EXAMINER 04/05/2006 NILAND, PATRICK DENNIS HENKEL CORPORATION THE TRIAD, SUITE 200 ART UNIT PAPER NUMBER 2200 RENAISSANCE BLVD. GULPH MILLS, PA 19406 1714

DATE MAILED: 04/05/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)
Office Action Summary	10/755,702	KREBS, MICHAEL
	Examiner	Art Unit
	Patrick D. Niland	1714
The MAILING DATE of this communication a Period for Reply	appears on the cover sheet w	vith the correspondence address
A SHORTENED STATUTORY PERIOD FOR REF WHICHEVER IS LONGER, FROM THE MAILING - Extensions of time may be available under the provisions of 37 CFR after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory perion - Failure to reply within the set or extended period for reply will, by stat Any reply received by the Office later than three months after the mai earned patent term adjustment. See 37 CFR 1.704(b).	1.136(a). In no event, however, may a od will apply and will expire SIX (6) MOI	CATION. reply be timely filed VTHS from the mailing date of this communication.
Status		
1) Responsive to communication(s) filed on		
	is action is non-final.	
3) Since this application is in condition for allow	ance except for formal met	
closed in accordance with the practice under	Ex parte Quavle 1935 C.F.	ers, prosecution as to the merits is
Disposition of Claims	, , , , , , , , , , , , , , , , , , , ,	7. 11, 400 O.G. 213.
·		
4) Claim(s) <u>1-27</u> is/are pending in the application	n.	
4a) Of the above claim(s) is/are withdrest 5) Claim(s) is/are allowed.	awn from consideration.	
6) Claim(s) 1-27 is/are rejected.		
7) Claim(s) is/are objected to.	•	
8) Claim(s) are subject to restriction and/	Samuel and the second	
•	or election requirement.	
Application Papers	•	
9) The specification is objected to by the Examin	er.	
10) The drawing(s) filed on is/are: a) ac	cepted or b) lobiected to b	ov the Evaminer
Applicant may not request that any objection to the	drawing(s) be held in abeyand	Ce See 37 CED 1 85(a)
Replacement drawing sheet(s) including the correct	tion is required if the drawing	s) is objected to See 27 CED 4 404(4)
11) The oath or declaration is objected to by the E	xaminer. Note the attached	Office Action or form PTO-152
Priority under 35 U.S.C. § 119		
•		
12)⊠ Acknowledgment is made of a claim for foreigr a)□ All b)□ Some * c)⊠ None of:	priority under 35 U.S.C. §	119(a)-(d) or (f).
	na kadina arawa	•
- Profits of the priority document	is have been received.	
— sepice of the priority document	is have been received in Ap	plication No
Copies of the certified copies of the prio application from the International Burea	ury documents have been r	eceived in this National Stage
* See the attached detailed Office action for a list	of the cortified coring and	
· · · · · · · · · · · · · · · · · · ·	or the certified copies not re	eceived.
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Hookus adda)		
ttachment(s)		
Notice of References Cited (PTO-892) Notice of Draftsperson's Patent Drawing Review (PTO-948)	4) Interview Sur	mmary (PTO-413)
Information Disclosure Statement(s) (PTO-1449 or PTO/SR/08)	Paper No(s)/ 5) Notice of Info	Mail Date pmal Patent Application (PTO-152)
Paper No(s)/Mail Date <u>1/04</u> .	6) Other:	
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1. Claims 1-27 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

- A. Claims 1, 14, and 23 recite molecular weights of species which may be polymeric without stating what type of molecular weight is being claimed, e.g. weight average, number average, etc. It is therefore unclear what type of polymeric molecular weight is intended and a polydispersity of exactly one is not enabled in the instant specification.
- B. The instant claims 25-27 are directed to polyurethanes or compositions per se. These claims depend from claim 1 which is a method per se. It is unclear how these claims further limit claim 1.
- C. Claim 12 may give a polyurethane diisocyanate where all difunctional components are used. This polyurethane diisocyanate will be assymetrical. It is therefore unclear whether such polyurethane diisocyanates are intended to be excluded or only unreacted MDI by the language of this claim.
- D. It is unclear if the additional step of claims 19-20 and 23-24 are required to maintain the NCO:OH ratio of claim 1 or if these additional steps are reacting polyol in excess of that encompassed by the claimed NCO:OH ratio.
- 2. Claims 25-27 are objected to under 37 CFR 1.75(c), as being of improper dependent form for failing to further limit the subject matter of a previous claim. Applicant is required to cancel the claim(s), or amend the claim(s) to place the claim(s) in proper dependent form, or rewrite the claim(s) in independent form. The instant claims 25-27 are directed to polyurethanes or

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compositions per se. These claims depend from claim 1 which is a method per se. It is unclear how these claims further limit claim 1.

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- Claims 1-26 are rejected under 35 U.S.C. 102(b) as being anticipated by WO
 00/05290 Bolte et al., translation supplied by applicant referenced.

Bolte discloses a polyurethane prepolymer made by the instantly claimed processes at page 5, lines 3-7 which falls within the scope of the instant claims 3 and 12 by one interpretation of claim 12; page 6, lines 10-30; page 7, lines 5-9 which is expected to give the parameter of the instant claim 2 inherently based on the definition of viscosity average molecular weight and the fact that the other requirements of claim 2 are met and lines 10-30; page 8, lines 1-30, particularly 21-25; page 9, lines 13-15; page 10, lines 9-30; page 11, lines 1-30; page 12, lines 1-30; page 13, lines 1-30; and page 14, lines 1-19 which encompasses the diols of the instant claims 1, 4, 10, 14, 15-17, 19-20, and 23-24 (note that the mixtures encompass the "additional" polyols of claims 19-20 and 23-24) and lines 20-30; page 15, line 7, which discloses the instantly claimed diisocyanate with sufficient specificity so as to anticipate its use; page 19, lines 22-27;

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page 20, lines 12-15 which falls within the scope of the NCO:OH ratios of the instant claims 1, 8, and 18, lines 17-30 which falls within the scope of the instant claim 11; page 21, lines 1-30 particularly 7-26 which falls within the scope of the instant claims 5-7, 9, and 21; page 25, lines 4-30; page 26, lines 1-12 and 21-26, which falls within the scope of the instant claim 26. The above discussed parameters are the same as claim 1 and therefore must give the limitation of claim 13 inherently. The claims do not exclude additional components of the reference.

6. Claims 1-27 are rejected under 35 U.S.C. 103(a) as being unpatentable over WO 00/05290 Bolte et al., translation supplied by applicant referenced in view of US Pat. No. 5721311 Oien.

Bolte discloses a polyurethane prepolymer made by the instantly claimed processes at page 5, lines 3-7 which falls within the scope of the instant claims 3 and 12 by one interpretation of claim 12; page 6, lines 10-30; page 7, lines 5-9 which is expected to give the parameter of the instant claim 2 inherently based on the definition of viscosity average molecular weight and the fact that the other requirements of claim 2 are met and lines 10-30; page 8, lines 1-30, particularly 21-25; page 9, lines 13-15; page 10, lines 9-30; page 11, lines 1-30; page 12, lines 1-30; page 13, lines 1-30; and page 14, lines 1-19 which encompasses the diols of the instant claims 1, 4, 10, 14, 15-17, 19-20, and 23-24 (note that the mixtures encompass the "additional" polyols of claims 19-20 and 23-24) and lines 20-30; page 15, line 7, which discloses the instantly claimed diisocyanate with sufficient specificity so as to anticipate its use; page 19, lines 22-27; page 20, lines 12-15 which falls within the scope of the NCO:OH ratios of the instant claims 1, 8, and 18, lines 17-30 which falls within the scope of the instant claim 11; page 21, lines 1-30 particularly 7-26 which falls within the scope of the instant claims 5-7, 9, and 21; page 25, lines

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4-30; page 26, lines 1-12 and 21-26, which falls within the scope of the instant claim 26. The above discussed parameters are the same as claim 1 and therefore must give the limitation of claim 13 inherently. The claims do not exclude additional components of the reference.

It would have been obvious to one of ordinary skill in the art at the time of the instant invention to use the instantly claimed methods and ingredient combinations to make the prepolymer and compositions of the instant claims because they are encompassed by the reference and would have been expected to give the properties disclosed by Bolte.

It would have been obvious to one of ordinary skill in the art at the time of the instant invention to use the instantly claimed aliphatic tertiary amine in the composition of Bolte because Bolte states that their compositions are useful as moisture curing compositions at page 26, lines 21-26 and the instantly claimed amines are well known for catalyzing/accelerating this moisture curing as taught by Oien, column 7, lines 28-32 and column 10, lines 36-54 and this catalyzation/acceleration of the moisture cure would have been expected in Bolte.

7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Patrick D. Niland whose telephone number is 571-272-1121. The examiner can normally be reached on Monday to Thursday from 10 to 5.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Vasu Jagannathan, can be reached on 571-272-1119. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent
Application Information Retrieval (PAIR) system. Status information for published applications
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system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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